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COUNTY OF LOS ANGELES PROBATION DEPARTMENT

9150 EAST IMPERIAL HIGHWAY - DOWNEY, CALIFORNIA 90242 (562) 940-2501



April 17, 2012

TO:

Supervisor Zev Yaroslavsky, Chairman

Supervisor Gloria Molina

Supervisor Mark Ridley-Thomas

Supervisor Don Knabe

Supervisor Michael D. Antonovich

FROM:

Jerry E. Powers

Chief Probation Officer

SUBJECT:

FEASIBILITY PLAN TO IMPROVE REHABILITATIVE SERVICES TO

THE PUBLIC SAFETY REALIGNMENT (AB 109) POPULATION

(APRIL 3, 2012 AGENDA, ITEM #45-A)

On April 3, 2012, on motion of Supervisor Mark Ridley-Thomas, the Board directed the Chief Probation Officer, in consultation with the Chief Executive Officer to develop a feasibility plan to immediately improve the levels of rehabilitative services to released prisoners with the goal of ensuring 100% of persons requiring treatment and other services receive them. The plan should examine, but not be limited to, the following remedies:

- Establish clear performance goals to ensure Departments and/or agencies make the appropriate referrals for mental health, substance abuse and job placement/housing services, including goals and timetables for ensuring that:

 (a) all Post-release Supervised Persons (PSPs) who require services are referred for such services, and (b) all PSPs are monitored for attendance and progress towards their prescribed services;
- Locating the referring County agencies and/or service providers, both County agencies and Community-Based Organizations (CBOs) at the Probation HUB facilities;
- Beginning the assessment and referral process prior to the prisoners' release, at the prison site, and possibility arranging for transportation directly to a service provider; and

4) Ensuring the broadest and most effective partnership and participation of Community-Based Organizations and Faith-Based Organizations through robust outreach and including performance goals in contract terms.

In addition, as amended by Supervisor Molina, the Board instructed the Chief Probation Officer to develop guidelines regarding the occurrences of mandatory condition violations, and that the Department document these discipline violations, and report them in the Countywide Criminal Justice Coordination Committee (CCJCC) Public Safety Realignment Implementation Update.

OVERVIEW

The following outlines Probation's response and key actions which focus on:

- Establishing clear performance goals and timelines to immediately improve the levels of rehabilitative services for the Public Safety Realignment (AB 109) population;
- Determining the feasibility or non-feasibility of various activities and options to improve such services;
- Cost estimates, funding mechanisms, and implementation timelines associated with these activities;
- Reviewing and updating existing "Intermediate Sanction Policy Guidelines", and documenting discipline violations and report them in the CCJCC Public Safety Realignment Implementation Update; and
- Remaining outstanding issues or questions that may require Board direction.

A summary of the feasibility plan is provided in Attachment I.

FEASIBILITY PLAN

I. ESTABLISH CLEAR PERFORMANCE GOALS TO ENSURE DEPARTMENTS AND/OR AGENCIES MAKE THE APPROPRIATE REFERRALS FOR MENTAL HEALTH, SUBSTANCE ABUSE AND JOB PLACEMENT/HOUSING SERVICES, INCLUDING GOALS AND TIMETABLES FOR ENSURING THAT: (A) ALL PSPS WHO REQUIRE SERVICES ARE REFERRED FOR SUCH SERVICES, AND (B) ALL PSPs ARE MONITORED FOR ATTENDANCE AND PROGRESS TOWARDS THEIR PRESCRIBED SERVICES

The Probation Department will ensure that we increase the use of the Treatment Court Probation Exchange (TCPX) web-based tracking system by all of our AB 109 Deputies. The TCPX system allows Deputies to generate individual reports showing the status of AB 109 participants relative to their treatment referrals, attendance and progress. Deputies will be able to generate printable referral forms (see Attachment II) that clients can take directly to service providers. Implementation of

the TCPX system is currently underway, as various system modifications have been moved into production. TCPX data entry will be performed by HUB and Supervision DPOs, Department of Public Health contracted providers, as well as Department of Mental Health, via a routine data download into the TCPX system.

As detailed below, the Department has developed specific performance goals and established timelines directly related to the efforts of ensuring that those who need services receive them.

- A. Increase TCPX Budget Allocation The Department's AB 109 FY 2012-13 budget request includes a \$30,000 quarterly allocation for systems enhancements, site maintenance, and ongoing server cost (\$120,000 annually). Internal Services Department (ISD) will continue making system modifications, which include, but will not be limited to, the tracking of services rendered by contractors (i.e., housing, employment, and transportation). If necessary, we may increase the budget request to ensure that necessary modifications and enhancements are accomplished.
- B. Establish guidelines to ensure timely use of TCPX System by DMH, DPH and Probation which will be memorialized in a Memorandum of Understanding (MOU) The MOU will establish requirements for the agencies' utilization and ensure accountability for the data entered and utilization of that data.

<u>Timeline</u>: The MOU will be executed by June 15, 2012.

C. Training for <u>ALL</u> AB 109 Deputy Probation Officers (DPOs) and Supervising Deputy Probation Officers (SDPOs) on TCPX – ISD has already trained a group of AB 109 DPOs and Supervisors as trainers for the TCPX system. These trainers will be utilized to train all AB 109 Supervision and HUB DPOs and Supervisors in the TCPX system.

<u>Timeline</u>: Training has been implemented and we anticipate all remaining staff will be trained by July 15, 2012.

- **D.** Develop and implement quality controls to ensure TCPX System usage The Department will develop and implement quality controls to ensure that DPOs continue to utilize the system to generate and track referrals for services. Once in place the quality controls will assist us in:
 - Monitoring site usage;
 - Identifying number of referrals and referral type generated from any particular user;
 - Comparing assessment results with actual referrals generated;
 - Comparing referrals for services with treatment conditions; and
 - Tracking attendance and timeliness of service delivery.

<u>Timeline</u>: Quality controls for TCPX usage will be in place by June 1, 2012.

E. Review and update our current Intermediate Sanction Policy Guidelines – The Probation Department will review and update our Intermediate Sanction Policy Guidelines that clearly identify required action for refusal and/or failure to attend treatment as ordered or referred.

Timeline: Currently underway and will be completed by May 15, 2012.

Thereafter, the policy and guidelines will be reviewed quarterly to

ensure they are effective and timely.

We believe that the goals of Item I are feasible and can be accomplished with the above actions.

II. LOCATING THE REFERRING COUNTY AGENCIES AND/OR SERVICE PROVIDERS, BOTH COUNTY AGENCIES AND COMMUNITY-BASED ORGANIZATIONS AT PROBATION HUB FACILITIES

Currently, there are over 200 potential service providers for this population in Los Angeles County. This results in significant logistical barriers associated with accommodating all potential service providers within probation HUBs. Some of the barriers include space considerations, as well as public versus private monetary and liability concerns. Going forward, as we pursue our pending Request for Proposal (RFP) for services, we may be able to explore opportunities with the selected providers for co-location of services so as to allow for a one stop shopping environment. This is still to be determined based on the outcome of the future RFP and providers' needs and resources. We believe co-location of County agency staff is feasible. However, due to the issues outlined above, we do not believe co-location of private providers is feasible.

III. BEGINNING THE ASSESSMENT AND REFERRAL PROCESS PRIOR TO THE PRISONERS' RELEASE, AT THE PRISON SITE, AND POSSIBLY ARRANGING FOR TRANSPORTATION DIRECTLY TO A SERVICE PROVIDER

The Department has evaluated the feasibility of the following options to accomplish early assessment and or transportation:

A. Request CDCR transfer Los Angeles County releases to local CDCR facilities 60 days prior to release so that assessments can be conducted prior to release and/or CDCR provide release transportation to Los Angeles County upon release — In discussing potential options with CDCR, their staff believes that at this time, it is not possible to accommodate the transfer and housing of Los Angeles County PSPs to in County, State institutions or neighboring County State facilities to assist in a plan to initiate assessments and referrals pre-release. The logistics of its 33 prisons as well as the nature of dealing with the other 57 counties made it impractical for them to do so. Due to CDCR limitations, this is not feasible.

- B. Contracting with CDCR to initiate assessments Attachment III, Chart 1 illustrates the anticipated population for FY 2012-13, which shows 6,751 individuals would need an assessment at a total minimum cost to CDCR of approximately \$675,000, which only includes basic risk assessments, excluding mental health and substance abuse assessments. CDCR has indicated that it is not feasible to take on this additional responsibility at this time.
- C. Placing Los Angeles County Probation, DMH and DPH employees in State facilities to conduct pre-release assessments The logistical considerations are significant and would include attempts to hire staff to work in out-of-County prison locations. Due to the multiple assessments that are required of many of these individuals for risk, mental health and substance abuse, there would be a need to have multiple staff available to conduct the appropriate assessments. Given that there are 33 prisons statewide and Los Angeles County receives individuals from all 33, the expense to have sufficiently trained staff in every facility would be prohibitive in comparison to the current HUB approach.

Probation, DMH and DPH estimate minimum personnel costs of approximately \$15.2 million that could be funded by Los Angeles County AB 109 General Funding Allocation (see Attachment III, Chart 2); however, this would necessitate a reallocation of resources that may not be likely to occur. Given these concerns, we have concluded that this option is not feasible.

D. Transfer of PSPs to Los Angeles County Jails prior to release from CDCR – CDCR has advised that they would pay approximately \$39.5 million in annual revenue to the County for housing PSPs in County Jail for 90 days. According to LASD, it would cost approximately \$46.9 million. Consequently, this would likely result in a minimum of \$7.4 million in net County cost increase (see Attachment III, Chart 3).

Additionally, LASD believes that with over 5,000 PSPs currently under County supervision, and approximately 4,000 N3's in custody, housing this additional population would be a significant and immediate strain on the Sheriff's Department's ability to manage the jail population, and would likely result in greater County expense than the current model. <u>For these reasons</u>, we do not believe this option is feasible.

E. Arranging transportation directly to a service provider – The Sheriff's Department conducts routine transportation of inmates to two reception and receiving centers for drop-off rather than transporting to facilities all over the State. The inability to predict when and where releases will occur, and with approximately 1,000 releases per month, the transporting department could be expected to potentially be at 33 different locations each day to pick up

individuals released from State custody. Ultimately in the next 24-30 months, as the in-custody Post-Release Community Supervision (PRCS) population begins to decrease, the individuals released from prison will be reduced to a trickle, and all of the infrastructure and expense associated with on-site assessments and transportation will be unnecessary. This option would likely result in a significant cost increase, and therefore, was determined not feasible.

IV. ENSURE THE BROADEST AND MOST EFFECTIVE PARTNERSHIPS AND PARTICIPATION OF COMMUNITY-BASED ORGANIZATIONS AND FAITH-BASED ORGANIZATIONS, THROUGH ROBUST OUTREACH INCLUDING PERFORMANCE GOALS IN CONTRACT TERMS

In March 2012, the Department hosted the Post-Release Community Supervision Outreach Forum to seek input and expertise from community-based and faith-based organizations regarding housing and employment services for the AB 109 population. Accordingly, feedback was obtained and used in developing the Statement of Work for both housing and employment service contracts. In addition, we have participated in over 20 community forums and events (see Attachment IV) delivering presentations and responding to inquiries regarding our implementation efforts and future plans with the AB 109 population. These outreach efforts will be ongoing as we aim to ensure the broadest, most effective partnerships. We believe the efforts undertaken so far will assist in accomplishing this goal and thus, it is feasible.

SUPERVISOR MOLINA'S AMENDMENT: CHIEF PROBATION OFFICER TO DEVELOP GUIDELINES REGARDING THE OCCURRENCES OF MANDATORY CONDITION VIOLATIONS, AND DOCUMENTATION OF THESE DISCIPLINE VIOLATIONS AND REPORTING THEM IN THE COUNTYWIDE CRIMINAL JUSTICE COORDINATION COMMITTEE (CCJCC) PUBLIC SAFETY REALIGNMENT IMPLEMENTATION UPDATE

The Department plans to review and revise existing policy and guidelines regarding the violation of mandatory conditions as previously indicated above. We are currently tracking the number of revocations, flash incarcerations, and new law violations. We will expand this to ensure that we know what behaviors are leading to these actions, such as, positive drug tests, failure to attend counseling, failure to meet with the Probation Officer or other behaviors. Ultimately, in those instances where sanctions are imposed, we will be able to report how many times we imposed sanctions, what the sanctions were, and the types of violations that led to the imposition of sanctions. In conjunction with the TCPX tracking system, we will ultimately have a much better picture of the treatment outcomes, or lack thereof, as well as the behavior outcomes of the AB 109 population. We believe this is feasible.

Each Supervisor April 17, 2012 Page 7

CONCLUSION

The Probation Department certainly supports the goal of 100% participation in treatment for this population, as well as the other actions contained in the Board motion. However, we also know that this population is particularly resistant to treatment and achieving a 100% compliance rate with treatment conditions given the resources available is not likely. Therefore, we must have a balanced approach that also provides for monitoring and sanctions of those individuals who do not comply with mandatory treatment and supervision terms. This will enable public safety to be better served and resources more effectively utilized, and will result in better outcomes for all. In addition, although not specific to any part of the Board's motion, but assisting in the overall goal, we will be restructuring internal operations to establish a bureau specific to AB 109 services. This will help to focus on the services and needs of the population, as well as our partners and communities in dealing with this unique population.

Please contact me if you have any questions or require additional information, or your staff may contact Reaver Bingham, Deputy Chief, Adult Field/Placement Services, at (562) 940-2513.

JEP:RB:BF:cc

Attachments (4)

c: Honorable Leroy D. Baca, Sheriff
William T Fujioka, Chief Executive Officer
Georgia Mattera, Public Safety, Chief Executive Office
Sachi A. Hamai, Executive Officer, Board of Supervisors
John Krattli, Acting County Counsel
Tom Tindall, Director, Internal Services Department
Dr. Marvin J. Southard, Director, Department of Mental Health
Dr. Jonathan E. Fielding, Director, Department of Public Health
Justice Deputies

SUMMARY OF PROBATION'S AB 109 FEASIBILITY PLAN TO IMPROVE REHABILITATIVE SERVICES TO THE PUBLIC SAFETY REALIGNMENT (AB 109) POPULATION (APRIL 3, 2012 AGENDA, ITEM #45-A)

Direct the Chief Probation Officer, in consultation with the Chief Executive Officer, to develop a feasibility plan to immediately improve the levels of rehabilitative services to released prisoners with a goal of ensuring 100% of persons requiring treatment and other services receive them; and provide a written report back to the Board within 14 days. The plan should examine, but not be limited to, the following remedies: 1) Establish clear performance goals to ensure Departments and/or agencies make the appropriate referrals for mental health, substance abuse and job placement/housing services, including goals and timetables for ensuring that: (a) all Post-release Supervised Persons (PSPs) who require services, and (b) all PSPs are monitored for attendance and progress towards their prescribed services;	SUPERVISOR RIDLEY-THOMAS' BOARD MOTION, AS AMENDED BY SUPERVISOR MOLINA	
Feasible	FEASIBILITY	
\$120,000 Budgeted Annually	COST	TO THE U, AND A COUNTY, IT CIN
AB 109 Probation Funding Allocation	FUNDING	[NUX,
Various dates (see comments)	IMPLMENTATION TIMELINE	[N ##0-X)
Probation will make the Treatment Court Probation Exchange (TCPX) system the primary system used in tracking treatment attendance and progress with the AB 109 population. Probation has developed the following specific performance goals and established timelines related to the effort of ensuring that those who need services receive them: Increasing TCPX budget allocation request to allow for systems enhancements, site maintenance, and server cost. Probation will enter into a Memorandum of Understanding with the Departments of Mental Health (DMH) and Public Health (DPH) to establish guidelines and timely use of TCPX by June 15, 2012. Probation will train all AB 109 Deputies on TCPX and implement written policy to ensure system use by July 15, 2012. Develop and implement quality controls to ensure TCPX System usage by June 1, 2012. Review and update the existing "Intermediate Sanction Policy Guidelines" by May 1, 2012.	COMMENTS	

reception centers, not all State facilities. Consequently, such transportation is also not feasible.						
 CDCR cannot transport PSPs to local facilities; too expensive. LASD only transports to State 		·				
 LASD cannot assist unless PSPs are transported to local State facilities. 						
logistically meet this request. County would need to hire staff to work in out of county prison locations. The County may be required to hire multiple county staff in these facilities or at minimum regionally.		which will require a reallocation of resources that may not be likely.			service provider; and	
₹ 0 ~	Not Applicable	LA County AB 109 Funding Allocation,	\$22.6 Million	Not Feasible	3) Beginning the assessment and referral process prior to the prisoners' release, at the prison site, and possibility arranging for transportation directly to a	
1 20 - 1				CBOs, Not Feasible		
 DMH and DPSS personnel are currently located at the Probation HUBs. Probation is working towards the co-location of DPH personnel to provide substance 	Co-locating DPH personnel by August 2012.	1	5 	Referring County Agencies, Feasible Referring	2) Locating the referring County agencies and Community-Based Organizations, at the Probation HUB facilities;	
COMMENTS	IMPLMENTATION TIMELINE	FUNDING	COST	FEASIBILITY	SUPERVISOR RIDLEY-THOMAS' BOARD MOTION, AS AMENDED BY SUPERVISOR MOLINA	
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SUPERVISOR RIDLEY-THOMAS' BOARD MOTION, AS AMENDED BY SUPERVISOR MOLINA	FEASIBILITY	COST	FUNDING	IMPLMENTATION TIMELINE	COMMENTS
4) Ensuring the broadest and most effective partnership and participation of Community-Based Organizations and Faith-Based Organizations through robust outreach and including performance goals in contract terms.	Feasible	1		Upon implementation of new contracts. Ongoing	 PRCS Outreach Forum for Housing and Employment Services held in March 2012. Conducted PRCS Forum Survey and provided Email for comments and suggestions. Statement of Work for Employment and Housing services drafted with input from CBOs and FBOs. Performance goals are included in existing contract and will be included in new contracts. Ongoing participation in various community events and forums.
Instruct the Chief Probation Officer to develop guidelines regarding the occurrences of mandatory condition violations, and that the Department document these discipline violations, and report them in the Countywide Criminal Justice Coordination Committee (CCJCC) Public Safety Realignment Implementation Update.	Feasible	•	1	By May 1, 2012	 Review and update the existing Intermediate Sanction Policy Guidelines.

COUNTY OF LOS ANGELES - PROBATION DEPARTMENT HUB REFERRAL Public Safety Realignment Act - Assembly Bill 109 Post Release Community Supervision

APPOINTMENT INFORMATION						
CDCR/County Jail Dis	charge Date:	HUB Location	:		Program Type:	☐ Walk-In Applicant
PARTICIPANT INFOR	MATION					
PSP / Defendant Nam	e:	Date of Birth:	Gender:	Ethnicity	<u> </u>	☐ Veteran ☐ Indigent
PERSONAL IDENTIFI	ERS					
X Number:	PB / County Case	# :		CDC #:		CII#:
SUPERVISING DPO	CONTACT INFORM	MATION		-		
Deputy Probation Office	cer's (DPO) Name:			DPO Ph	one #:	DPO Fax #
ONSITE TEST INFOR	MATION		di		A	
Narcotic Testing: YES NO	Test Date:	Test Result:	A	Drug Na	me:	Confirmation Ordered YES NO
LSCMI Assessment: LSCMI Score: LSCMI Rank: YES NO NO			W.	Over High		erride Override dium Risk Low Risk
TREATMENT REFER	RAL DETAILS		Ally	ASSI	V	
Referral Date: PSP / Defendant Treatment Referral Decision: PSP / Defendant Refused Treatment Services					fused Treatment Services	
IDENTIFIED NEEDS:		ANY.		-VV)	h.	
MediCal	General Relief	CalFresh	☐ Ca	lWorks/Ca	alFresh 🔲 H	ealthy Way LA Screening
Housing	Food Stamps	☐ Transportat	ion \square G	FD	☐ Employment	!
☐ Veteran Services	│ Narcotic Te	4000	CHARLE	 Walden H	B	
	Department of Pu	ıblic Health Sı	40098			
			Assessm (CASC)	nent Servic within 48 h sessment t	Community ses Center sours of your o make an	
I promise to make and	keep an appointmen	it at the Commu	nity Assessm	ent Servic	e Center (CASC)	indicated above.
further understand that supervision or terminat X27: Submit to period	t my failure may be a ting supervision. fic anti-narcotic tes	factor considere	ed by my DP0 s as directed	O in setting	or modifying the	
X85: Cooperate with X88: Cooperate witht						ntal health treatment. nt.
PSP / Defendant Sign	nature:				Date:	
HUB DPO Signature:					Date:	

FEASIBILITY PLAN TO IMPROVE REHABILITATIVE SERVICES TO THE PUBLIC SAFETY REALIGNMENT (AB 109) POPULATION COST ESTIMATES FOR OPTIONS CONSIDERED

Chart 1

FISCAL YEAR 2012-13 ESTIMATED CDCR ASSESSMENT COST

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	TOTAL
Anticipated Assessment	-				
Needs (PSP population)	1,982	1,763	1,537	1,469	6,751
ESTIMATED ANNUAL		- M	P		1 2 1
COST (MINIMUM)	\$198,000	\$176,000	\$154,000	\$147,000	\$675,000

(Costs are calculated at a minimum rate of \$100 per assessment for 2 hours as indicated by CDCR, and do not include training and start-up costs.)

Chart 2

FISCAL YEAR 2012-13
ESTIMATED COST TO LOCATE COUNTY STAFF IN STATE FACILITIES

ANTICIPATED COST	ITEMS	COSTS
PROBATION:		
Program Director	1	
Support Staff	1 1	
SDPO	3	
DPO II	33	
		4,296,000
Services & Supplies		380,000
TOTAL	38	\$4,676,000
MENTAL HEALTH:		
DMH Program Head	1	
Mental Health Clinical Supervisors	5	
Psychiatric Social Worker (PSW) II	33	
		3,721,000
Services & Supplies		1,028,000
TOTAL	39	\$4,749,000
PUBLIC HEALTH:		
DPH Program Managers	4	
Certified Substance Abuse Counselors	33	
		5,194,000
Overhead & Employee Benefits		538,000
TOTAL	37	\$5,732,000
ESTIMATED TOTAL	114	\$15,157,000

(Note: Probation and Mental Health cost does not include overhead or other administrative cost. Additionally, Probation cost does not take into account a relief factor, which would include an additional 17 DPO II items and 2 SDPO items at an additional estimated cost of \$2,716,000.)

Chart 3

FISCAL YEAR 2012-13 ESTIMATED COST FOR PRE-RELEASE HOUSING IN LOS ANGELES COUNTY JAILS

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	TOTAL
Anticipated Population	1,982	1,763	1,537	1,469	6,751
LASD COST	\$13,766,000	\$12,245,000	\$10,675,000	\$10,203,000	\$46,888,000
Less: CDCR PAY RATE	\$11,595,000	\$10,314,000	\$8,991,000	\$8,594,000	\$39,493,000
EST. NET COUNTY COST	\$2,171,000	\$1,931,000	\$1,684,000	\$1,609,000	\$7,395,000

(Methodology: LASD cost of \$77.17 per day for 90 days; CDCR pay rate of \$65.00 per day for 90 days.)

PROBATION DEPARTMENT'S PARTICIPATION IN COMMUNITY FORUMS AND EVENTS SINCE AB 109 PROGRAM INCEPTION IN OCTOBER 2011

The following is a list of forums and events the Probation Department has participated in since AB 109 program implementation:

10/26/11 –Long Beach Community Peace and Justice Re-entry Summit Long Beach Convention Center 300 E. Ocean Blvd.

Long Beach, CA 90802

Organizer: Tonya Martin (562) 570-6816

11/07/11 –League of Women Voters of Los Angeles

California Endowment Center 1000 N. Alameda Street

Los Angeles, CA

Organizer: Jean Thomson (818) 346-1745

11/14/11 -Goodwill Speaking Tour

Crenshaw Christian Center 7901 South Vermont Ave.

Los Angeles, CA 90044

Organizer: Wendy Stallworth-Tait w/Probation Dept. (562) 940-2812

11/17/11 - Supervisor Mark Ridley-Thomas' Ladera Heights Civic

Association Annual Hall Meeting

Knox Presbyterian Church

5840 La Tijera Blvd.

Los Angeles, CA 90056

Organizer: Ann Gomez w/HR (213) 974-2423

11/30/11 -Breakfast Meeting with Supervisor Antonovich

Duarte Community Center 1600 Huntington Drive Duarte, CA 91010

Organizer: Brenda Daniels (909) 394-2264

12/07/11 - Chief Yokoyama w/Alhambra Police Department

211 South First Street, 2nd Floor

Alhambra, CA 91803

Organizer: Edith Lopez (626) 570-5121

12/13/11 –Department of Public Health

1000 S. Fremont Ave.

Alhambra, CA 91803

Organizer: Linda Dyer (626) 299-4109

01/03/12 -Lancaster Neighborhood Revitalization Commission

Lancaster City Hall

44933 N. Fern Ave.

Lancaster, CA 93534

Organizer: Norm Hickling, Deputy to Supervisor Antonovich (661) 726-3600

01/10/12 -Department of Public Health

1000 S. Fremont Ave., Bldg. A9

Alhambra, CA 91803

Organizer: Jimmy Singh w/Substance Abuse Prevention and Control

(626) 299-4143

01/20/12 - Department of Public Health Quarterly Townhall Meeting

1000 S. Fremont Ave., Bldg. A7

Alhambra, CA 91803

Organizer: Donna Lee (626) 299-4595

01/24/12 -Bethel AME Church AB109 Meeting

7900 S. Western Ave.

Los Angeles, CA 90047

Organizer: Cherlybranch@aol.com

01/25/12 - Michell Cerveza, Supervisor Molina's New Senior Legislative Deputy

HOA, Rm. 864

(213) 974-4111

01/27/12 -Tarzana Treatment Center

18646 Oxnard Street

Tarzana, CA 91356

Organizer: Jose Rodriguez (818) 756-8409

01/31/12 - Chief De Pompa w/Glendale Police

131 N. Isabel Street

Glendale, CA 91206

(818) 548-3140

02/16/12 -Personal Involvement Center

8220 S. San Pedro Street

Los Angeles, CA 90003

Organizer: Loranda (323) 565-2302

02/22/12 -Walden House Haight-Ashbury

California Endowment Center

1000 N. Alameda Street

Los Angeles, CA

Organizer: Demetrius Andreas (213) 741-3731

02/23/12 -Richard Fajardo AB109 Meeting

HOA, 3rd Fl.

Organizer: Mayra Guevara (213) 974-1425

03/08/12 -Breakfast Meeting w/Supervisor Antonovich

Arcadia City Hall

210 W. Huntington Drive

Arcadia, CA 91007

Organizer: Anna Pembedjian

03/13/12 - Shields for Families

w/William Spriggs, Assistant Secretary of Policy for the Depart. of Labor

Jericho Training Center

322 N. Alameda Blvd., Ste. K

Compton, CA 90222

Organizer: Dr. Kate Burkhardt (323) 242-5000 x3301

03/20/12 - Senator Lowenthai's Realignment Townhall Meeting

District Office

16401 Paramount Blvd.

Paramount, CA 90723

Organizer: Keith Higginbotham (562) 529-6659

04/10/12 -Torrance City Hall

3031 Torrance Blvd.

Torrance, CA 90503

Organizer: Cecil Rhambo w/LASD (323) 526-5065

04/12/12 - Community Coalition Townhall Meeting

8101 S. Vermont Ave.

Los Angeles, CA 90044

Organizer: Karren Lane (323) 750-9087 x244



COUNTY OF LOS ANGELES PROBATION DEPARTMENT

9150 EAST IMPERIAL HIGHWAY - DOWNEY, CALIFORNIA 90242 (562) 940-2501



June 11, 2012

TO:

Supervisor Zev Yaroslavsky, Chairman

Supervisor Gloria Molina

Supervisor Mark Ridley-Thomas

Supervisor Don Knabe

Supervisor Michael D. Antonovich

FROM:

Jerry E. Powers

Chief Probation Officer

SUBJECT:

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A summary of the feasibility plan is provided in Attachment I.

FEASIBILITY PLAN

I. ESTABLISH CLEAR PERFORMANCE GOALS TO ENSURE DEPARTMENTS AND/OR AGENCIES MAKE THE APPROPRIATE REFERRALS FOR MENTAL HEALTH, SUBSTANCE ABUSE AND JOB PLACEMENT/HOUSING SERVICES, INCLUDING GOALS AND TIMETABLES FOR ENSURING THAT: (A) ALL PSPS WHO REQUIRE SERVICES ARE REFERRED FOR SUCH SERVICES, AND (B) ALL PSPS ARE MONITORED FOR ATTENDANCE AND PROGRESS TOWARDS THEIR PRESCRIBED SERVICES

Probation HUBs are designed as multi-disciplinary assessment and orientation centers for Post-release Supervised Persons (PSPs) returning to county jurisdiction from State prison for supervision. As such, the primary goal of the HUB is to conduct initial orientations, initiate case plan development, and make referrals as indicated by assessment results. Thereafter, cases are transferred to Supervision field office DPOs responsible for tracking PSPs to ensure that connection is made to referred agencies.

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> During initial visits to HUB sites, DPOs review conditions of supervision, discuss specific requirements, and conduct initial drug testing. The Level of Service/Case Management Inventory (LS/CMI) risk assessment is administered to determine the PSP's risk for recidivism and need for treatment and/or other support services. Referrals are generated as DPOs identify mental health, substance abuse, housing, transportation, medical, or other needs. Department of Mental Health (DMH) clinicians direct PSPs with "mental health only" issues and co-occurring disorders (mental health and substance abuse) to local mental health facilities. When a mental health assessment determines that a PSP does not require mental health treatment, but rather "substance abuse only," DMH will refer PSPs to Community Assessment Service Centers (CASC) for substance abuse services. If a PSP does not have any mental health issues, the HUB DPO will refer the PSP to a CASC from the onset if substance abuse services are indicated. The Department of Public Social Services (DPSS) refers eligible PSPs to local DPSS offices for services. Future plans include the addition of CASC providers via the Department of Public Health - Substance Abuse Prevention Control (DPH-SAPC) to the HUBs to conduct substance abuse assessments, with implementation priorities being the highest volume HUB sites.

> The Department continues to make operational and systemic improvements as we identify opportunities for enhancement. Based on nearly 7-months of program implementation, we believe the current HUB model as designed, serves as the best option to provide orientations, case plan development, assessments and referrals to the Post-release Community Supervision (PRCS) population.

Despite favorable progress, the current HUB model continues to evolve as we strategically monitor and assess our implementation efforts. Outlined below are various process improvements and other action steps we have taken in response to conversations with Community Based Organizations (CBOs), the Treatment workgroup, and internal observations:

In November 2011, the Department –

Mandated Mental Health Treatment, Substance Abuse Treatment and in April 2012 Employment/Job Training Services were also mandated – The PRCS population is not one that is particularly amenable to treatment. Although our referral rates continued to increase, treatment participation has been lower than desired. In response, the Department began to mandate mental health and substance abuse treatment, and employment/job training services by adding these requirements to conditions of supervision. By mandating these services it removed the "choice" option from the PSP. It also allowed service providers more leverage when dealing with PSPs refusing treatment services. Moreover, this effort has allowed the authority to impose intermediate sanctions including flash incarceration, as well as revocation for those failing to comply with such mandates. DMH has indicated that the mandated conditions have helped them in gradually improving participation rates. DPH-SAPC believes that co-location of

CASC providers at HUB sites will result in increased participation in substance abuse treatment. This thought is based on the fact that a direct connection and link to substance abuse service providers will be provided during the PSP's initial visit to the HUB. Currently, PSPs are given a referral to an offsite CASC facility, who in turn gives the PSP another referral to an offsite service provider. The addition of the CASC provider at the HUBs would eliminate the need to provide the initial offsite referral to the CASC facility making it more likely that PSPs would make the connection to the service provider.

In January 2012, the Department -

Executed a sole source contract with Haight Ashbury for housing, transportation and job readiness/placement services – The Department began drafting the Statement of Work for a sole source contact with Haight Ashbury in November of 2011. The Board of Supervisors (BOS) granted approval of sole source authority the following month in late December 2011. Throughout January and February 2012, the Department worked feverishly to complete the required background checks of over 400+ persons employed by various sub-contractors through Haight Ashbury. The Department exhausted every effort to expedite this process, which is still underway to-date.

Initially, approximately only 35% of the staff applying to work under the contract passed and cleared background investigations. The remaining 65% failed to meet the Department's background criteria. The primary reason for failure was the requirement to "disclose" criminal information from their past, which resulted in an automatic disqualification. To remedy this issue without compromising the integrity of the process, we changed background eligibility criteria to reflect 3 years of no felony convictions verses 10 years as required by the prior criteria. This decision immediately improved the number of persons eligible to perform work under the contract as we experienced an approximate 18% clearance rate improvement. Consequently, we became more aggressive in making the appropriate referrals. Below, Table A illustrates current background clearance percentages.

Table A

Probation's Haight Ashbury E	Background Clearances
Final & Clear	53%
Pending Investigation	17%
Disqualified	21%
Disqualified (In review)	4%
No Show for Live Scan	5%

Note: These percentages are cumulative as of 5/29/12. Also note that these percentages constantly fluctuate as investigation are completed, disqualifications are reviewed and overturned, and individuals report in for live scanning.

Treatment Participation Rates

As background clearances progressed, referrals for housing, transportation and job readiness/employment services increased dramatically. The need for housing services remained consistent with our initial projections of approximately 12% of the population, while the need for job readiness/placement service trended lower than anticipated. Moreover, as part of evidence-based case management, Probation HUB and Supervision DPOs primarily focus on the stabilization of mental health and/or substance abuse treatment, transportation and housing needs over job readiness/placement service. Job readiness/placement service referrals can be made during later stages of supervision as the PSP becomes stable within the community. Bus tokens are offered at Probation HUB sites and supervision area offices to assist PSPs with transportation. Our Mobile Assistance Team (MAT) also assists with transporting acute mentally ill PSPs to mental health treatment facilities and County hospitals as needed. Cal Fresh and General Relief services offered though DPSS have assisted PSPs with basic food and financial needs. These factors alone have impacted the number of referrals for job readiness/placement services. However, for those identified as fully capable of seeking and maintaining employment, we have enforced this condition of supervision and will continue with appropriate follow-up.

PSPs Connecting with Non-County Providers

Through field observations and interviews, we have discovered that PSPs appear to be connecting with past resources offered by Parole, prior to reporting to Probation HUBs, or shortly thereafter. This seems to be an area that is both comfortable and familiar to PSPs as they adjust to the nuances of AB 109. Consequently, participation rates have suffered as PSPs are stating that they do not need, or outright refuse particular services due to connecting with other non-County service providers. While we do not have the number of PSPs using non-County service providers, Table B provides PSP refusal rates for Mental Health treatment at Probation HUBs, and Table C provides referrals for substance abuse assessment and show rates. We believe that there is a correlation between refusal and show rates, and PSPs using other non-County services.

Table B – Mental Health Treatment Determinations and PSP Refusals (By Month of Release).

	OCT	NOV	DEC	JAN	FEB	MAR
Treatment needed	320	338	321	313	304	227
Number refusing mental health	51	66	74	39	40	49
treatment at HUBs	16%	20%	23%	12%	13%	22%

Note: DMH was unable to provide updated data (April & May) at the time of the report.

Table C – Referrals for Substance Abuse Assessment and Show Rates (Cumulative).

Referrals to CASCs for	OCT	NOV	DEC	JAN	FEB	MAR	APR	*MAY
Substance Abuse Assessment	333	716	1066	1431	1815	2306	2819	3239
Number of the CASC	9	65	265	495	770	1181	1420	1505
Number reporting to CASCs	3%	9%	25%	35%	42%	51%	50%	47%

*Note: May data reflects counts up to May 25, 2012.

In cases where Probation, DMH or DPH-SAPC deemed the non-County agency's services as unacceptable, we are still making the necessary County provided and/or contracted service referrals and conducting follow up with the expectation of compliance. An example of unacceptable services would be an agency that is providing services at lower dosage or frequency rates as recommended by County DMH clinicians or DPH-SAPC staff.

Moreover, some have declined "free" Haight Ashbury housing services as they seek alternative housing options like motels and transitional living homes that they can pay for themselves (Attachment II, Chart 1 shows Probation's Haight Ashbury referral and placement rates). Housing costs are covered with funds received from General Relief, vouchers from DPSS, and money earned while in State custody. They feel this is a safer option because of suspicion that "free housing" services means that Haight Ashbury is working for Probation to keep "tabs" on their behavior and whereabouts. As failing to accept a housing referral is not considered a violation of supervision, we do not currently track these occurrences. However, given the correlation between homelessness and recidivism, we will begin tracking the declination of housing referrals effective July 1, 2012.

PSPs Adjustment to Probation's Supervision Model

We have also discovered that some PSPs seem to have difficulty adjusting to the oversight of Probation as they become familiar with the requirements of supervision under AB 109. DPO's have experienced PSPs express that our (Probation's) approach to treatment and supervision is different from what they have experienced with Parole, and in fact more stringent. The HUB concept alone is not traditional in a sense that prior experiences with Parole did not include anything similar. During orientations with Probation HUB DPOs, PSPs have made statements similar to, "This new AB 109 supervision is much harder than Parole. Probation has way too many conditions and requirements. I'd rather be back on Parole."

These kinds of attitudes and ideas have resulted in PSPs "testing" the system. For example, some PSPs have opted to "self-parole," which means they are not required to give an address prior to or upon release from State prison. Upon reporting to HUB sites, they have indicated that they are homeless. As HUB

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DPOs initiate housing referrals, they have made statements similar to the notion that they are stable for the moment but will be moving to a new permanent residence in the coming weeks. This behavior is an intentional attempt to remain "unsupervised." This kind of manipulation is adversely affecting referrals and participation rates, as these kinds of individuals have no intention to participate from the onset. Yet some agree during the orientation phase in order to "go along" with the process. This behavior illustrates the sophistication and experience some have in dealing with law enforcement agencies. While we have not quantitatively tracked these various occurrences, they are provided to demonstrate the challenges we face in dealing with the referral process and required participation. Accordingly, we are taking proactive steps to ensure compliance with all conditions, with an emphasis on treatment compliance. The increased use of TCPX to monitor treatment participation, and the increased use of intermediate sanctions, leading up to revocation are clear examples of our proactive efforts.

It should be noted that these findings do not reflect the majority of the PRCS population, but rather a smaller segment of the population.

In February 2012, the Department -

Implemented the use of TCPX to track referrals and treatment progress – In November 2011, the Treatment workgroup, a sub-committee of the Public Safety Realignment Team (PSRT) began discussions regarding the use of Treatment Court Probation Exchange (TCPX) system to manage the referral process for the PRCS population. The workgroup recommended TCPX as the primary system to be used in sharing treatment participation and progress for the PRCS population. The Treatment workgroup initiated this process by identifying what system modifications would be required to support this effort, and a time-frame for completion. We also met with and reviewed a system maintained by Haight Ashbury to better understand the requirements associated with tracking participation rates for the AB 109 population.

For background, TCPX is a DPH-SACP web-based information system that tracks referrals to contracted support services, mental health, and substance abuse treatment providers. TCPX data entry is performed by HUB and Supervision DPOs, as well as DPH-SAPC and DMH contracted providers. HUB DPOs provide PSPs with printable referral forms (see Attachment III) they can take directly to service providers, which clearly identify them as AB 109 participants. Based on routine data downloads into the TCPX system, field office DPOs will be able to generate treatment compliance reports, including attendance and progress, that will be discussed during the PSP's subsequent field office visits. As we continue to work with the PRCS population, on-going modifications and system enhancements will be made. Those modifications include adjustments to capture the N3 population, capturing contracted services

such as housing, employment, and transportation, and refining reports to capture data more accurately. We anticipate completion and implementation within the next 90 days.

After various follow-up meetings outlining system parameters and requirements, ISD programmers began modifications in December 2011. In February 2012, several segments of the TCPX system were complete and available for use. Training was initiated by ISD, as Probation, DMH and DPH-SAPC providers learned the system and its many additions.

Implementation moved forward with the understanding that as the workgroup identified errors, system glitches, and/or recommend additional modifications, those would be vetted, and changes would be made in order of priority. Initial production efforts focused on completing the following tasks:

- Completion of the HUB segment of the system to allow Probation to begin capturing 100% of the population reporting to a HUB site.
- Reconciling PSP treatment and referral data gathered from Probation, DMH and DPH-SAPC between the months of October 2011 – December 2011, and entering the data into the system.
- Completion of the printable referral forms generated by HUB DPOs for PSP to be taken directly to treatment service providers.
- Completion of various report types to be used by each agency, as well as the Countywide Criminal Justice Coordination Committee (CCJCC) for reporting to the BOS on AB 109 implementation progress.

The TCPX system is one of many systems that will be used to track the PRCS population. DPOs will employ the use of several systems to aid in their duty to provide case management services.

 Adult Probation System (APS) – APS is Probation's primary system used to track all adult clients including AB 109 participants. Pre-release information, supervision conditions, progress notes, and field contacts are all examples data and information maintained in APS. APS is still being modified to address the need to capture other relevant AB 109 data such as pre-release packet information and intermediate sanctions.

<u>Timeline</u>: Currently underway and will be completed in phases with implementation beginning by August 31, 2012.

- Trial Court Information System (TCIS) TCIS is the Superior Court's system used to maintain criminal court cases and information. Minute orders, transcripts, and warrant information are examples of data and information maintained in TCIS.
- Consolidated Criminal History Reporting System (CCHRS) CCHRS
 is a system maintained by the Department of Justice (DOJ) that brings
 together computerized information from more than a dozen computer
 systems used by the Sheriff, District Attorney, Public Defender,
 Probation, Municipal and Superior Courts and other departments to
 obtain an offender's criminal history.
- Centralized Excel Spreadsheets and Access Databases Currently, a centralized group of Program Analyst are using Excel spreadsheets and Access databases to track contracted services such as housing, and job/readiness placement services. Additionally, they are tracking warrant requests, revocation, intermediate sanction and pre-release information.

Currently, each of these systems functions independently and do not interface, with exception to TCPX and TCIS. We have developed plans which will allow TCPX to capture data elements from APS to assist in the automation of printable treatment referrals. We anticipate this feature being fully functional within 60 - 90 days of this report. As it stands, DPOs are required to log into these various systems individually and research the case related information they need. Collectively, these systems will allow the DPO to provide the most comprehensive level of case management and supervision in their effort to ensure accountability and compliance with treatment and supervision requirements. They will also allow for management oversight as various reports on population demographic and other trend data can be generated. Additionally, as detailed below, we have further developed specific tasks and established timelines directly related to the efforts of ensuring that those who require services receive them:

A. The Department's AB 109 budget requests for the current and next fiscal year includes an allocation for TCPX systems enhancements, site maintenance, and on-going server cost – Internal Services Department (ISD) will continue with system modifications, which include, but will not be limited to, the tracking of services rendered by contractors (i.e., housing, employment, and transportation). We anticipate that system modifications will continue throughout the next fiscal year as we identify additional system needs, enhancements, and improvements.

<u>Timeline</u>: The system is fully functional and available for use. On-going modifications will occur throughout fiscal year 2012-13 as we continue to work with the AB 109 population.

B. Establish guidelines to ensure timely use of TCPX System by DMH, DPH-SAPC and Probation which will be memorialized in a Memorandum of Understanding (MOU) – The MOU will establish requirements for each of the agencies' utilization and ensure accountability for the data entered and utilization of that data.

<u>Timeline</u>: The MOU will be executed by July 15, 2012.

C. Training of <u>ALL</u> AB 109 Deputy Probation Officers (DPOs) and Supervising Deputy Probation Officers (SDPOs) on TCPX –

<u>Timeline</u>: Training has already begun. ISD has trained Probation trainers who will train the remaining AB 109 staff by July 30, 2012.

- D. The Department will develop and implement quality controls to ensure that DPOs continue to use the system to generate and track referrals for services Once in place the quality controls will assist us in:
 - Monitoring site usage;
 - Identifying number of referrals and referral type generated from any particular user;
 - Comparing assessment results with actual referrals generated;
 - · Comparing referrals for services with treatment conditions; and
 - · Tracking attendance and timeliness of service delivery.

<u>Timeline</u>: Quality controls for TCPX usage will be in place by July 1, 2012.

E. Review and update our current Intermediate Sanction Policy Guidelines – The Probation Department will review and update our Intermediate Sanction Policy Guidelines that clearly identify required action for refusal and/or failure to attend treatment as ordered or referred. The Department will provide a copy of that stated guidelines under a separate confidential report.

<u>Timeline</u>: Currently underway and will be completed by June 30, 2012. Thereafter, the policy and guidelines will be reviewed quarterly to ensure they are effective and timely.

As previously mentioned, the PRCS population has historically been resistant to treatment. While there are no known national or statewide treatment participation rate standards for this population or one similar, Attachment II, Chart 2 outlines our overall treatment participation goals for PRCS population.

As we move forward, we will continue to employ corrective action for PSPs who fail to comply with mandated treatment requirements. We anticipate that by end of fiscal year 2012-13, we will have met and/or exceeded our targeted goals, as 100% of the

treatment population will be addressed either through participating in treatment or addressed through the use of intermediate sanctions and/or revocation. We believe that the goals of Item I are feasible and can be accomplished with the above actions.

II. LOCATING THE REFERRING COUNTY AGENCIES AND/OR SERVICE PROVIDERS, BOTH COUNTY AGENCIES AND COMMUNITY-BASED ORGANIZATIONS (CBOS) AT PROBATION HUB FACILITIES

There are over 200 potential service providers for this population in Los Angeles County. This results in significant logistical barriers associated with accommodating all potential service providers within Probation HUBs. Some of the barriers include space considerations, as well as public versus private monetary and liability concerns. Going forward, as we pursue our pending Request for Proposal (RFP) for services, we will consider exploring opportunities with the selected providers for co-location of services among the primary referring agency so as to allow for a one-stop service environment.

Currently, we are accommodating co-location of DMH and DPSS, and have plans to include DPH-SAPC and Department of Children and Family Service (DCFS) at our highest volume HUB sites, as space allows. We have conducted initial meetings and discussions with DPH-SAPC's and Probation's Information Technology (IT) staff to identify IT and security requirements. We have also completed a "walk-through" and mapped out a space plan for co-location at the Lynwood and DRC HUBs. We are currently pending an update from DPH-SAPC to determine when/if they are able to install a Digital Subscriber Line (DSL) line for data. We have also learned that DPH-SAPC may need to amend their CASC contract to accommodate this effort. We are monitoring progress towards this effort with an implementation date no later than July 31, 2012, barring any unforeseen IT or contractual challenges.

However, conversely, with the limited available funding provided by the State, the County's objective is to maximize those funds through direct support, mental health, and substance abuse treatment services that are local and easily accessible to the PSP. We believe co-location of additional County agency staff is feasible. However, due to the issues outlined above, we do not believe co-location of private providers is feasible.

III. BEGINNING THE ASSESSMENT AND REFERRAL PROCESS PRIOR TO THE PRISONERS' RELEASE, AT THE PRISON SITE, AND POSSIBLY ARRANGING FOR TRANSPORTATION DIRECTLY TO A SERVICE PROVIDER

Probation and several County departments have been working with the CDCR on several potential options to facilitate PSP's transition from State prison back to their communities. Despite these efforts, CDCR has made it clear that they are unable,

at this time, to accommodate some of the creative options proposed by the County. Currently, CDCR is dealing with restructuring efforts, population reductions, staffing considerations, and budgetary restraints which prohibit their ability to take on these additional tasks.

CDCR has only agreed to transport acute mentally ill PSPs who are unable to navigate public transportation, to local CDCR prison sites for pick-up by Probation. In exploring various options, we have learned that CDCR does not release LA County PSPs from any specific prison site in significantly large enough numbers to out-station staff to conduct pre-release assessments. Releases tend to be evenly disbursed throughout the 33 State prison sites. Despite these initial barriers, we explored the following additional options:

- A. Request CDCR transfer Los Angeles County releases to local CDCR facilities 60 days prior to release so that assessments can be conducted prior to release and/or CDCR provide release transportation to Los Angeles County upon release CDCR management believes that at this time, it is not possible to accommodate the transfer of Los Angeles County PSPs to State institutions or neighboring County State facilities to assist in a plan to initiate assessments and referrals pre-release. The logistics of its 33 prisons as well as the possibility of accommodating request from other 57 counties made it impractical for them to do so.
- B. Contracting with CDCR to initiate assessments Attachment II, Chart 3 illustrates the anticipated population for FY 2012-13, which shows 6,751 individuals would need an assessment at a total minimum cost to CDCR of approximately \$675,000, which only includes basic risk assessments, excluding mental health and substance abuse assessments. CDCR has indicated that it is not feasible to take on this additional responsibility at this time.
- C. Placing Los Angeles County Probation, DMH and DPH-SAPC employees in State facilities to conduct pre-release assessments The logistical considerations are significant and would include attempts to hire staff to work in out-of-County prison locations. Due to the multiple assessments that are required of many of these individuals for risk, mental health and substance abuse, there would be a need to have multiple staff available to conduct the appropriate assessments. Given that there are 33 prisons statewide and Los Angeles County receives individuals from all 33, the expense to have sufficiently trained staff in every facility would be prohibitive in comparison to the current HUB approach.

Probation, DMH and DPH-SAPC estimate minimum personnel costs of approximately \$15.2 million that could be funded by Los Angeles County AB 109 General Funding Allocation (see Attachment II, Chart 4); however, this

would necessitate a reallocation of resources that may not be likely to occur. Given these concerns, we have concluded that this option is not feasible.

D. Transfer of PSPs to Los Angeles County Jails prior to release from CDCR – Early in realignment discussions, CDCR advised that they would pay approximately \$39.5 million in annual revenue to the County for housing PSPs in County Jail for 90 days. According to LASD, it would cost approximately \$46.9 million. Consequently, this would likely result in a minimum of \$7.4 million in net County cost increase (see Attachment II, Chart 5). Housing PSPs in County Jail for 30 days would be estimated at \$13.2 million and 60 days would be estimated at \$26.4 million.

Additionally, LASD believes that with over 5,000 PSPs currently under County supervision, and approximately 4,000 N3's in custody, housing this additional population would be a significant and immediate strain on the Sheriff's Department's ability to manage the jail population, and would likely result in greater County expense than the current model. For these reasons, we do not believe this option is feasible.

- E. Arranging transportation directly to a service provider The Sheriff's Department conducts routine transportation of inmates to two reception and receiving centers for drop-off rather than transporting to facilities all over the State. The inability to predict when and where releases will occur, and with approximately 1,000 releases per month, the transporting department could be expected to potentially be at 33 different locations each day to pick up individuals released from State custody. Ultimately in the next 24-30 months, as the in-custody PRCS population begins to decrease, the individuals released from prison will be reduced to a trickle, and all of the infrastructure and expense associated with on-site assessments and transportation will be unnecessary. This option would likely result in a significant cost increase, and therefore, was determined not feasible.
- IV. ENSURE THE BROADEST AND MOST EFFECTIVE PARTNERSHIPS AND PARTICIPATION OF COMMUNITY-BASED AND FAITH-BASED ORGANIZATIONS, THROUGH ROBUST OUTREACH INCLUDING PERFORMANCE GOALS IN CONTRACT TERMS

In March 2012, the Department hosted the Post-Release Community Supervision Outreach Forum to seek input and expertise from CBO and FBO community regarding housing and employment services for the AB 109 population. Accordingly, feedback was obtained and used in developing the Statement of Work for both housing and employment service contracts. An example of such would be our decision to change the payment model from fee-for-service to cost reimbursement. In addition, we have participated in over 20 community forums and events (see Attachment IV) delivering presentations and responding to inquiries

regarding our implementation efforts and future plans with the AB 109 population. These meeting have result in the following:

- The Department plans to incorporate the CBO community into future Probation trainings. This will allow the DPO to gain a broader perspective from the treatment community regarding how the DPOs supervision techniques and strategies affect the PSPs successful community transition. The training will also focus on impressing upon the DPO the teamwork that is required that heavily influences a client's in reintegration efforts.
- We have addressed concerns regarding compliance checks and began dialogs regarding the development of protocols for law enforcement agencies when conducting compliance checks.
- We have initiated the process of issuing bus tokens to the PRCS population at HUB and Supervision field offices.
- We have modified our background criteria from 10 years of no felony convictions to 3 years, as to allow more contractors to provide services.

These outreach efforts will be ongoing as we aim to ensure the broadest most effective partnerships. We believe the efforts undertaken so far will assist in accomplishing this goal and thus, it is feasible.

SUPERVISOR MOLINA'S AMENDMENT: CHIEF PROBATION OFFICER TO DEVELOP GUIDELINES REGARDING THE OCCURRENCES OF MANDATORY CONDITION VIOLATIONS, AND DOCUMENTATION OF THESE DISCIPLINE VIOLATIONS AND REPORTING THEM IN THE COUNTYWIDE CRIMINAL JUSTICE COORDINATION COMMITTEE (CCJCC) PUBLIC SAFETY REALIGNMENT IMPLEMENTATION UPDATE

The Department plans to review and revise existing policy and guidelines regarding the violation of mandatory conditions as previously indicated above. In doing so, we will work in consultation with DMH when deciding and imposing intermediate sanctions on PSPs with mental health conditions. Currently, we are tracking the number of revocations, flash incarcerations, and new law violations. We will expand this to ensure that we know what behaviors are leading to these actions, such as, positive drug tests, failure to attend counseling, failure to meet with the Probation Officer or other behaviors. Ultimately, in those instances where sanctions are imposed, we will be able to report how many times we imposed sanctions, what the sanctions were, and the types of violations that led to the imposition of sanctions. In conjunction with the TCPX tracking system, we will ultimately have a much better picture of the treatment outcomes, or lack thereof, as well as the behavior outcomes of the AB 109 population. We believe this is feasible.

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CONCLUSION

The Probation Department certainly supports the goal of 100% participation in treatment for this population, as well as the other actions contained in the Board motion. However, we also know that this population is particularly resistant to treatment and achieving a 100% compliance rate with treatment conditions given the resources available is not likely. Therefore, we must have a balanced approach that also provides for monitoring and sanctions of those individuals who do not comply with mandatory treatment and supervision terms. This will enable public safety to be better served and resources more effectively utilized, and will result in better outcomes for all. In addition, although not specific to any part of the Board's motion, but assisting in the overall goal, we will be restructuring internal operations to establish a bureau specific to AB 109 services. This will help to focus on the services and needs of the population, as well as our partners and communities in dealing with this unique population.

Please contact me if you have any questions or require additional information, or your staff may contact Reaver Bingham, Deputy Chief, Adult Field/Placement Services, at (562) 940-2513.

JEP:RB:BF:cc

Attachments (5)

c: Honorable Leroy D. Baca, Sheriff William T Fujioka, Chief Executive Officer Georgia Mattera, Public Safety, Chief Executive Office Sachi A. Hamai, Executive Officer, Board of Supervisors John Krattli, Acting County Counsel Tom Tindall, Director, Internal Services Department Dr. Marvin J. Southard, Director, Department of Mental Health Dr. Jonathan E. Fielding, Director, Department of Public Health Justice Deputies

SUMMARY OF PROBATION'S AB 109 FEASIBILITY PLAN TO IMPROVE REHABILITATIVE SERVICES TO THE PUBLIC SAFETY REALIGNMENT (AB 109) POPULATION (APRIL 3, 2012 AGENDA, ITEM #45-A)

ON COMMENTS	Probation will make the Treatment Court Probation Exchange (TCPX) system the primary system used in tracking treatment attendance and progress with the AB 109 population. Probation has developed the following specific performance goals and established timelines related to the effort of ensuring that those who need services receive them: Increasing TCPX budget allocation request to allow for systems enhancements, site maintenance, and server cost. Probation will enter into a Memorandum of Understanding with the Departments of Mental Health (DMH) and Public Health (DPH) to establish guidelines and timely use of TCPX by June 15, 2012. Probation will train all AB 109 Deputies on TCPX and implement written policy to ensure system use by July 15, 2012. Develop and implement quality controls to ensure TCPX System usage by June 1, 2012. Review and update the existing "Intermediate Sanction Policy Guidelines" by May 1, 2012.
IMPLMENTATION	Various dates (see comments)
FUNDING	AB 109 Probation Funding Allocation
COST	\$120,000 Budgeted Annually
FEASIBILITY	Feasible
SUPERVISOR RIDLEY-THOMAS' BOARD MOTION, AS AMENDED BY SUPERVISOR MOLINA	Direct the Chief Probation Officer, in consultation with the Chief Executive Officer, to develop a feasibility plan to immediately improve the levels of rehabilitative services to released prisoners with a goal of ensuring 100% of persons requiring treatment and other services receive them; and provide a written report back to the Board within 14 days. The plan should examine, but not be limited to, the following remedies: 1) Establish clear performance goals to ensure Departments and/or agencies make the appropriate referrals for mental health, substance abuse and job placement/housing services, including goals and timetables for ensuring that: (a) all Post Supervised Persons (PSPs) who require services are referred for such services, and (b) all PSPs are monitored for attendance and progress towards their prescribed services;

TON COMMENTS	DMH and DPSS personnel are currently located at the Probation HUBs. Probation is working towards the co-location of DPH personnel to provide substance abuse assessments. With over 200 service providers, Probation cannot accommodate space at the HUBs for CBOs. Barriers include space considerations, as well as public vs. private monetary and liability concerns.	• • • • •
IMPLMENTATION	Co-locating DPH personnel by August 2012.	Not Applicable
FUNDING	E .	LA County AB 109 Funding Allocation, which will require a reallocation of resources that may not be likely.
COST	1	\$22.6 Million
FEASIBILITY	Referring County Agencies, Feasible Referring CBOs, Not Feasible	Not Feasible
SUPERVISOR RIDLEY-THOMAS' BOARD MOTION, AS AMENDED BY SUPERVISOR MOLINA	2) Locating the referring County agencies and Community-Based Organizations, at the Probation HUB facilities;	3) Beginning the assessment and referral process prior to the prisoners' release, at the prison site, and possibility arranging for transportation directly to a service provider; and

SUPERVISOR RIDLEY-THOMAS' BOARD MOTION, AS AMENDED BY SUPERVISOR MOLINA	FEASIBILITY	COST	FUNDING	IMPLMENTATION TIMELINE	COMMENTS
4) Ensuring the broadest and most effective partnership and participation of Community-Based Organizations and Faith-Based Organizations through robust outreach and including performance goals in contract terms.	Feasible	II .	1	Upon implementation of new contracts. Ongoing	 PRCS Outreach Forum for Housing and Employment Services held in March 2012. Conducted PRCS Forum Survey and provided Email for comments and suggestions. Statement of Work for Employment and Housing services drafted with input from CBOs and FBOs. Performance goals are included in existing contract and will be included in new contracts. Ongoing participation in various community events and forums.
Supervisor Molina's Amendment Instruct the Chief Probation Officer to develop guidelines regarding the occurrences of mandatory condition violations, and that the Department document these discipline violations, and report them in the Countywide Criminal Justice Coordination Committee (CCJCC) Public Safety Realignment Implementation Update.	Feasible	I	I	By May 1, 2012	 Review and update the existing Intermediate Sanction Policy Guidelines.

FEASIBILITY PLAN TO IMPROVE REHABILITATIVE SERVICES TO THE PUBLIC SAFETY REALIGNMENT (AB 109) POPULATION COST ESTIMATES FOR OPTIONS CONSIDERED

Chart 1

Probation's Haight Ashbury Referrals								
	FEB	MAR	APR	MAY	TOTAL			
Total Referrals	108	304	1,134	470	2,016			
Total Referrals + Pending Placement (PP)	112	309	1,506	554	2,481			
No. of PSP's	89	304	1,405	507	2,305			
Pending Placement (PP)	0	5	376	84	465			
Pending Employment Start Date	0	0	423	101	524			
Sober Living	2	6	8	6	22			
Sober Living w/child	0	0	1	0	1			
Transitional Living	69	131	169	125	494			
Transitional Living w/child	2	2	3	1	8			
Shelter	1	1	9	4	15			
Transportation	10	5	2	0	17			
Job Readiness Referrals	24	159	942	334	1,459			
Job Readiness Referrals (w/ start date)	0	0	519	233	752			

Note: Chart reflects data through May 25, 2012.

Chart 2

FISCAL YEAR 2012-13 TREATMENT PARTICIPATION GOALS

	Probatio	n Referrals	Participat	tion Rates	Targe	Targeted Participat		tion Goals			
Oct 2011 - May 25, 2012	# of referrals	# required per condition	# required per assessment	# participating	ESTREAM PRODUCTS	End of Q2	End of Q3	End of Q4			
Substance Abuse Treatment	3,278	**4,480	887	251 (28%)	55%>	60%>	65%>	70%>			
Mental Health Treatment	*1,982	**1,452	†2,130	1,363 (64%)	65%>	70%>	75%>	80%>			
TOTAL:	5,260	5,932	3,017	1,614 (53%)	40%>	50%>	60%>	70%>			

^{*} Data includes PSPs referred to DMH at the HUB for initial orientation and assessment (October 2011 - May 25, 2012).

^{**} Data includes PSPs that reported to a HUB, as well as those still in State custody with an added condition based on information contained in the pre-release packet.

[†] Data includes PSPs originally assessed at the HUB, as well as those that were later referred to DMH from Supervision.

Chart 3

FISCAL YEAR 2012-13 ESTIMATED CDCR ASSESSMENT COST

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	TOTAL
Anticipated Assessment					
Needs (PSP population)	1,982	1,763	1,537	1,469	6,751
ESTIMATED ANNUAL					
COST (MINIMUM)	\$198,000	\$176,000	\$154,000	\$147,000	\$675,000

(Costs are calculated at a minimum rate of \$100 per assessment for 2 hours as indicated by CDCR, and do not include training and start-up costs.)

Chart 4

FISCAL YEAR 2012-13
ESTIMATED COST TO LOCATE COUNTY STAFF IN STATE FACILITIES

ANTIQUEATED COOT	ITEMO	00070
ANTICIPATED COST	ITEMS	COSTS
PROBATION:		
Program Director	1	
Support Staff	1	
SDPO	3	
DPO II	33	
		4,296,000
Services & Supplies		380,000
TOTAL	38	\$4,676,000
MENTAL HEALTH:		
DMH Program Head	1	
Mental Health Clinical Supervisors	5	
Psychiatric Social Worker (PSW) II	33	
		3,721,000
Services & Supplies		1,028,000
TOTAL	39	\$4,749,000
PUBLIC HEALTH:		March Tale Control
DPH Program Managers	4	
Certified Substance Abuse Counselors	33	
		5,194,000
Overhead & Employee Benefits		538,000
TOTAL	37	\$5,732,000
ESTIMATED TOTAL	114	\$15,157,000

(Note: Probation and Mental Health cost does not include overhead or other administrative cost. Additionally, Probation cost does not take into account a relief factor, which would include an additional 17 DPO II items and 2 SDPO items at an additional estimated cost of \$2,716,000.)

Chart 5 FISCAL YEAR 2012-13
PRE-RELEASE HOUSING IN LOS ANGELES COUNTY JAILS

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	TOTAL
Anticipated Population	1,982	1,763	1,537	1,469	6,751
LASD COST	\$13,766,000	\$12,245,000	\$10,675,000	\$10,203,000	\$46,888,000
CDCR PAY RATE	\$11,595,000	\$10,314,000	\$8,991,000	\$8,594,000	\$39,493,000
EST. NET COUNTY COST	\$2,171,000	\$1,931,000	\$1,684,000	\$1,609,000	\$7,395,000

(Methodology: LASD cost of \$77.17 per day for 90 days; CDCR pay rate of \$65.00 per day for 90 days.)

COUNTY OF LOS ANGELES - PROBATION DEPARTMENT HUB REFERRAL ATTACHMENT III

Public Safety Realignment Act - Assembly Bill 109 Post Release Community Supervision								
APPOINTMENT INFORMATION								
CDCR/County Jail Disc	charge Date:	HUB Location	C.		Program Type:	☐ Walk-In Applicant		
PARTICIPANT INFOR	MATION							
PSP / Defendant Name	e:	Date of Birth:	Gender:	Ethnicity	:	☐ Veteran ☐ Indigent		
PERSONAL IDENTIFI	ERS							
X Number:	X Number: PB / County Case #: CDC #:					CII #:		
SUPERVISING DPO CONTACT INFORMATION								
Deputy Probation Officer's (DPO) Name:				DPO Ph	one #:	DPO Fax #:		
ONSITE TEST INFORMATION								
Narcotic Testing: YES NO	Test Date:	Test Result:				Confirmation Ordered YES NO		
LSCMI Assessment: YES NO	LSCMI Score:	LSCMI Rank:				erride Override dium Risk Low Risk		
TREATMENT REFER	RAL DETAILS			-				
Referral Date: PSP / Defendant Treatment Referral Decision: PSP / Defendant Refused Treatment Services								
IDENTIFIED NEEDS: ☐ MediCal ☐ General Relief ☐ CalFresh ☐ CalWorks/CalFresh ☐ Healthy Way LA Screening ☐ Housing ☐ Food Stamps ☐ Transportation ☐ GED ☐ Employment ☐ Veteran Services ☐ Narcotic Testing ☐ DPSS ☐ Walden House Other:								
Department of Public Health Substance Abuse Prevention And Control								
Call the identified Community Assessment Services Center (CASC) within 48 hours of your HUB assessment to make an appointment.								
I promise to make and	keep an appointment	t at the Commur	nity Assessmo	ent Service	e Center (CASC)	indicated above.		
I promise to make and keep an appointment at the Community Assessment Service Center (CASC) indicated above. I understand that my failure to make and keep an appointment as referred by my DPO may result in revocation in this matter. I further understand that my failure may be a factor considered by my DPO in setting or modifying the terms and conditions of my supervision or terminating supervision. X27: Submit to periodic anti-narcotic tests/alcohol tests as directed by the Probation Officer or any Peace Officer. X85: Cooperate with Probation Department and Department of Mental Health in a plan for mental health treatment. X88: Cooperate with the Probation Officer in a plan for substance abuse counseling/treatment.								
PSP / Defendant Signature: Date:								

HUB DPO Signature: _____ Date: _____

PROBATION DEPARTMENT'S PARTICIPATION IN COMMUNITY FORUMS AND EVENTS SINCE AB 109 PROGRAM INCEPTION IN OCTOBER 2011

The following is a list of forums and events the Probation Department has participated in since AB 109 program implementation:

10/26/11 -Long Beach Community Peace and Justice Reentry Summit

Long Beach Convention Center

300 E. Ocean Blvd.

Long Beach, CA 90802

Organizer: Tonya Martin (562) 570-6816

11/07/11 -League of Women Voters of Los Angeles

California Endowment Center

1000 N. Alameda Street

Los Angeles, CA

Organizer: Jean Thomson (818) 346-1745

11/14/11 -Goodwill Speaking Tour

Crenshaw Christian Center

7901 South Vermont Ave.

Los Angeles, CA 90044

Organizer: Wendy Stallworth-Tait w/Probation Dept. (562) 940-2812

11/17/11 - Supervisor Mark Ridley-Thomas' Ladera Heights Civic

Association Annual Hall Meeting

Knox Prebyterian Church

5840 La Tijera Blvd.

Los Angeles, CA 90056

Organizer: Ann Gomez w/HR (213) 974-2423

11/30/11 -Breakfast Meeting with Supervisor Antonovich

Duarte Community Center

1600 Huntington Drive

Duarte, CA 91010

Organizer: Brenda Daniels (909) 394-2264

12/13/11 -Department of Public Health

1000 S. Fremont Ave.

Alhambra, CA 91803

Organizer: Linda Dyer (626) 299-4109

01/03/12 -Lancaster Neighborhood Revitalization Commission

Lancaster City Hall

44933 N. Fern Ave. Lancaster. CA 93534

Organizer: Norm Hickling, Deputy to Supervisor Antonovich (661) 726-3600

01/10/12 - Department of Public Health

1000 S. Fremont Ave., Bldg. A9

Alhambra, CA 91803

Organizer: Jimmy Singh w/Substance Abuse Prevention and Control

(626) 299-4143

01/20/12 - Department of Public Health Quarterly Townhall Meeting

1000 S. Fremont Ave., Bldg. A7

Alhambra, CA 91803

Organizer: Donna Lee (626) 299-4595

01/24/12 -Bethel AME Church AB109 Meeting

7900 S. Western Ave.

Los Angeles, CA 90047

Organizer: Cherlybranch@aol.com

01/25/12 - Michell Cerveza, Supervisor Molina's New Senior Legislative Deputy

HOA, Rm. 864 (213) 974-4111

01/27/12 -Tarzana Treatment Center

18646 Oxnard Street

Tarzana, CA 91356

Organizer: Jose Rodriguez (818) 756-8409

02/16/12 -Personal Involvement Center

8220 S. San Pedro Street

Los Angeles, CA 90003

Organizer: Loranda (323) 565-2302

02/22/12 -Walden House Haight-Ashbury

California Endowment Center

1000 N. Alameda Street

Los Angeles, CA

Organizer: Demetrius Andreas (213) 741-3731

03/08/12 -Breakfast Meeting w/Supervisor Antonovich

Arcadia City Hall

210 W. Huntington Drive

Arcadia, CA 91007

Organizer: Anna Pembedjian (213) 974-5555

03/13/12 -Shields for Families

w/William Spriggs, Assistant Secretary of Policy for the Depart. of Labor Jericho Training Center

322 N. Alameda Blvd., Ste. K

Compton, CA 90222

Organizer: Dr. Kate Burkhardt (323) 242-5000 x3301

03/20/12 -Senator Lowenthal's Realignment Townhall Meeting

District Office

16401 Paramount Blvd.

Paramount, CA 90723

Organizer: Keith Higginbotham (562) 529-6659

04/10/12 -Torrance City Hall

3031 Torrance Blvd.

Torrance, CA 90503

04/12/12 -Community Coalition Townhall Meeting

8101 S. Vermont Ave.

Los Angeles, CA 90044

Organizer: Karren Lane (323) 750-9087 x244

04/19/12 - California Mental Health County Council

Sheraton Gateway Hotel

6101 West Century Blvd.

Los Angeles, CA 90045

Organizer: Andi Murphy (916) 651-3806

05/02/12 -Breakfast Meeting with Supervisor Antonovich

Pasadena City Hall

100 North Garfield Ave., Rm. S-038

Pasadena, CA

Organizer: Anna Pembedjian (213) 974-5555

05/16/12 -Breakfast Meeting with Supervisor Antonovich

Bradbury City Hall

600 Winston Ave.

Bradbury, CA 91010

Organizer: Anna Pembedjian (213) 974-5555

05/16/12 -South Bay Inns of Court

Delzano's Restaurant

179 N. Harbor Drive

Redondo Beach, CA 90277

Organizer: Judge Kathleen O. Diesman (310) 419-6707